



## Gift of Shares Packet

Goldbelt, Incorporated, is an Alaska Native Corporation created under the Alaska Native Claims Settlement Act. The gifting of Goldbelt shares may only be transferred to a child, grandchild, great grandchild, niece, nephew, brother, or sister. Recipient must be related by birth or legal adoption (before the recipient reaches 18 years of age). Recipient must be Alaska Native and be able to provide proof of blood quantum (i.e. Tribal enrollment card or BIA Certificate of Indian Blood).

Upon receipt of the completed **Goldbelt Gifting Packet and required enrollment documents** the transfer of shares will be made. Incomplete gifting packets will not be accepted.

### Gift of Shares Checklist:

- Part A: Affidavit Request for Gift of Shares** – Completed by donor. Donor’s signature must be notarized.
- Part B: Affidavit of Acceptance for Gift of Shares** – Completed by recipient or recipient’s custodian. Recipient’s signature must be notarized.
- Part C: Enrollment Information and Direct Deposit Authorization** – Completed by recipient.
- Part D: Ancestral Trust** – Complete the family tree.
- Part E: Custodian Consent Form** – Completed by recipient’s custodian, only if the recipient is under the age of 18.
- Birth Certificate** – Please provide all necessary birth certificates to prove relationship between recipient and donor. This is important for the Ancestral Trust review and to show direct lineal descent to an original Goldbelt shareholder.
- Blood Quantum** – Tribal Enrollment or Certificate of Indian Blood.

**Please send completed forms & documents via mail, email, or fax.**

**3025 Clinton Drive, Juneau, Alaska 99801**

**Email: [shareholder@goldbelt.com](mailto:shareholder@goldbelt.com) | Fax: (907) 790-4999**

**Phone: (907) 790-4990 | Toll Free: (800) 770-5866**

**Part A: Affidavit Request for Gift of Shares (Completed by Donor)**

I, \_\_\_\_\_, of \_\_\_\_\_,  
First Name Middle Name Last Name

\_\_\_\_\_  
Mailing Address City State Zip Code

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Shareholder ID: \_\_\_\_\_ Social Security Number: \_\_\_\_\_

Under oath, hereby depose and say:

1. I am a Goldbelt, Inc. shareholder currently owning \_\_\_\_\_ shares of Stock of Goldbelt, Incorporated.
2. I understand that under the Alaska Native Claims Settlement Act (43 U.S.C. 1606) a shareholder may transfer stock to an Alaska Native or a descendant of an Alaska Native, as a gift from a shareholder to his/her child, grandchild, great grandchild, niece, nephew, brother, or sister.
3. I understand that the recipient of this gift must be an Alaska Native or descendant of an Alaska Native, which includes persons who are family members by adoption, and I have filled out the attached family tree form as accurately as possible. If the recipient is a relative by adoption, I have attached a true copy of the court Decree of Adoption or similar legal proof of adoption.
4. I wish to make a gift of \_\_\_\_\_ shares of Goldbelt, Incorporated stock to \_\_\_\_\_.  
Relationship to donor \_\_\_\_\_.
5. I attest that I am over 18 years of age or have attained majority status under the laws of the State of Alaska.
6. I understand that after the transfer is completed, I will own \_\_\_\_\_ shares of Goldbelt, Incorporated stock. My original stock certificate will be canceled and a new certificate for my remaining shares will be issued to me.
7. I understand that I will not be able to revoke, take back, or otherwise change this gift of shares once it has been made.
8. I understand that the gift of the stock places all authority over and benefits to the stock (including transfer by will or gift) in the hands of the recipient. I will no longer have voting rights for the transferred shares and I will no longer receive dividends or distributions for the transferred shares.
9. I represent and affirm that I have not received or been promised any consideration or anything of value in exchange for making this gift. I wish to make this gift exclusively to benefit the recipient, and not because of any benefit to me.
10. I understand that by signing this Affidavit Request I am swearing under oath to the best of my knowledge and belief that everything stated herein is true, and that I am acting of my own free will and am not under any undue pressure, influence, or duress.

**Donor: Please sign this affidavit in the presence of a notary public.**

DATED this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_  
(Signature)

SUBSCRIBED AND SWORN to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

\_\_\_\_\_  
Notary Public in and for: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**PART B: AFFIDAVIT OF ACCEPTANCE FOR GIFT OF SHARES (Completed by Recipient)**

I, \_\_\_\_\_  
First Name Middle Name Last Name

Under oath, depose as follows:

1. I understand that if the proposed gift is approved, I will receive \_\_\_\_\_ share(s) of Goldbelt, Incorporated stock as a gift from \_\_\_\_\_ (name of donor).
2. I have not paid, transferred, or promised anything of value to obtain these shares.
3. I understand that if this gift is approved, I will have the following rights and responsibilities with regard to the shares:
  - (a) At shareholder meetings, either in person or by proxy, I will be responsible for voting my shares.
  - (b) I will be responsible for determining the future ownership of my shares by gift or will.
  - (c) I will be entitled to receive distributions or dividends issued by Goldbelt, Incorporated to holders of Settlement Stock, the amount to be based on the number of shares I own.
  - (d) I will be responsible for paying whatever taxes may be owed as a result of receiving this gift of shares.
4. Once transferred, I understand that the law does not allow the gifting transfer to be reversed.
5. I understand that my signature below indicates my acceptance of the proposed stock gift and my request that Goldbelt, Incorporated complete the transfer of shares from the shareholder named above to me.

**Recipient: Please sign this affidavit in the presence of a notary public**

DATED this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
(Signature)

SUBSCRIBED AND SWORN to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Notary Public in and for: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**PART C: ENROLLMENT INFORMATION & DIRECT DEPOSIT**

Date of Birth: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ Social Security #: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Home Phone: \_\_\_\_\_ Cell Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**AUTHORIZATION FOR DIRECT DEPOSIT**

This authority is to remain in full force and effect until GOLDBELT, INCORPORATED has received written notification from me of its termination in such time and in such manner as to afford GOLDBELT, INCORPORATED and the above Depository a reasonable opportunity to act.

Bank Name: \_\_\_\_\_ Bank Phone #: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_

Account No.: \_\_\_\_\_ Routing No.: \_\_\_\_\_

Type of Account:  Checking  Savings  Decline

# Request for Taxpayer Identification Number and Certification

Give Form to the  
 requester. Do not  
 send to the IRS.

|  |   |  |   |
|--|---|--|---|
| Print or type<br>See Specific Instructions<br>on page 2. | 1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.   |  |   |
|  | 2 Business name/disregarded entity name, if different from above  |  |   |
|  | 3 Check appropriate box for federal tax classification; check only one of the following seven boxes:<br><input type="checkbox"/> Individual/sole proprietor or single-member LLC<br><input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____<br><small>Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.</small><br><input type="checkbox"/> Other (see instructions) ▶ _____ |  | 4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):<br>Exempt payee code (if any) _____<br>Exemption from FATCA reporting code (if any) _____<br><small>(Applies to accounts maintained outside the U.S.)</small> |
|  | 5 Address (number, street, and apt. or suite no.)   |  | Requester's name and address (optional)   |
|  | 6 City, state, and ZIP code   |  |   |
|  | 7 List account number(s) here (optional)  |  |   |

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

|                                |  |  |  |  |  |  |  |  |  |
|--------------------------------|--|--|--|--|--|--|--|--|--|
| Social security number         |  |  |  |  |  |  |  |  |  |
|                                |  |  |  |  |  |  |  |  |  |
| OR                             |  |  |  |  |  |  |  |  |  |
| Employer identification number |  |  |  |  |  |  |  |  |  |
|                                |  |  |  |  |  |  |  |  |  |

**Part II Certification**

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

|           |                                  |              |
|-----------|----------------------------------|--------------|
| Sign Here | Signature of U.S. person ▶ _____ | Date ▶ _____ |
|-----------|----------------------------------|--------------|

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.  
 Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
  - Form 1099-C (canceled debt)
  - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See **What is backup withholding?** on page 2.
- By signing the filled-out form, you:
- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
  - Certify that you are not subject to backup withholding, or
  - Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
  - Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See **What is FATCA reporting?** on page 2 for further information.

**PART D: ANCESTRAL TRUST ELIGIBILITY**

In order to complete the gifting request, we will need to verify that recipients are related by blood or legal adoption. Complete this form with the appropriate lineage that details how you and the recipient are related. For example, if you are gifting shares to a niece, list your name, your parent's names, your sibling's name, and then the name of your niece.

**To the best of your abilities please complete the following family tree and circle any family members who are/were original Goldbelt shareholders:**

|                  |                |  |  |
|------------------|----------------|--|--|
|                  |                | <b>Grandfather:</b>  | Goldbelt Shareholder (?): Yes No<br>Original Shareholder (?): Yes No |
|                  |                | <b>Father:</b>   | Goldbelt Shareholder (?): Yes No<br>Original Shareholder (?): Yes No |
| <b>Your Name</b> |                | <b>Grandmother:</b>  | Goldbelt Shareholder (?): Yes No<br>Original Shareholder (?): Yes No |
|                  |                | <b>Grandfather:</b>  | Goldbelt Shareholder (?): Yes No<br>Original Shareholder (?): Yes No |
|                  | <b>Mother:</b> | Goldbelt Shareholder (?): Yes No<br>Original Shareholder (?): Yes No |  |
|                  |                | <b>Grandmother:</b>  | Goldbelt Shareholder (?): Yes No<br>Original Shareholder (?): Yes No |

\*Please note that additional documentation may be required to establish eligibility for the Ancestral Trust.

----- **OFFICE USE ONLY** -----

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_

Eligible: Yes \_\_\_\_\_ No \_\_\_\_\_ Eligible Through: \_\_\_\_\_

Additional Information Needed: \_\_\_\_\_

Notes:

**PART E: CUSTODIAN CONSENT (only if recipient is under the age of 18)**

In the matter of \_\_\_\_\_ a minor:  
(Minor's Full Name)

This document appoints \_\_\_\_\_ as custodian of  
(Custodian's Full Name)  
all stock and other property that the above-named minor is and may later be entitled to receive from Goldbelt, Incorporated, pursuant to the provisions of the Alaska Native Claims Settlement Act of December 18, 1971, 85 Stat. 688, (43 U.S.C. 1601 et seq.) until the minor reaches age 18. This appointment complies with Alaska Statute 13.46.085, as set forth on the reverse side hereof.

\_\_\_\_\_  
(Custodian's Mailing Address) (City) (State) (Zip Code)

\_\_\_\_\_  
(Custodian's Email Address) (Home Phone) (Cell Phone)

The undersigned hereby consents to and accepts appointment and designation as custodian for the above-named minor, and agrees to receive and hold as custodian all stock and property distributed to such minor by Goldbelt, Incorporated pursuant to the provisions of the Alaska Native Claims Settlement Act, which the said minor is or may be entitled to receive. The undersigned further agrees to collect, hold, manage, invest and reinvest the custodial property in accordance with the Uniform Gifts to Minors Act of the State of Alaska, as it may be amended from time to time. The undersigned acknowledges that they have read and understand the provisions of Section 13.46.085 and 13.46.110 of the Alaska Statutes, concerning the appointment, powers, and duties of custodians for minors, which appear on the following page.

Date: \_\_\_\_\_

Signature of Custodian: \_\_\_\_\_

Family or Legal Relationship of Custodian to the Above-Named

Minor: \_\_\_\_\_

**AS 13.46.085. Native Corporation; Custodians.**

- (a) The stock or membership in a corporation organized under the law of this state under 43 U.S.C. 1601 et seq. (Alaska Native Claims Settlement Act) that a minor is entitled to receive under that Act shall be held by a custodian.
- (b) A person making a transfer of stock, whether by gift, devise, or other method, may nominate a custodian. In the absence of a nomination, the custodian shall be determined under the order of priority set out below. The appointment becomes effective upon the corporation's receipt of the custodian's written consent to the appointment. The order of priority is:
- (1) the legal guardian, if any, of the minor;
  - (2) a parent, if any, of the minor, as selected by the parents;
  - (3) an adult member of the minor's family; in this paragraph, "member of the minor's family" has the meaning given in AS 13.46.990, and also includes members of a family with whom the minor has customarily lived.
- (c) For good cause, a district court or the superior court may vary the order of priority set out in (b) of this section or appoint another suitable person as custodian.
- (d) The custodianship is governed by this chapter, as modified by the following:
- (1) [Repealed, Sec. 12 ch 60 SLA 1992],
  - (2) under AS 13.46.150, a third person is responsible for determining whether stock is inalienable under the Act;
  - (3) the custodian shall give an appropriate receipt for property received for the minor;
  - (4) the custodian may not alienate inalienable property except within the limits provided by law;
  - (5) the form of registration or title shall be "as custodian for (name of minor) under the Alaska Native Claims Settlement Act";
  - (6) a custodian may not receive compensation except, upon application to and approval by the superior court, for unusual and extraordinary services;
  - (7) custodial property includes securities, money, and other real and personal property under supervision as a consequence of the Act.
- (e) Notwithstanding AS 13.46.190, the stock shall be transferred to the minor when the minor reaches 18 years of age, or to the minor's heirs if the minor dies before reaching 18 years of age.
- (f) In this section,
- (1) "Act" means 43 U.S.C. 1601 et seq. (Alaska Native Claims Settlement Act);
  - (2) "minor" means an individual who is less than 18 years of age;
  - (3) "stock" means the stock or membership in a corporation that is organized under the law of this state under the Act and that a minor is entitled to receive under the Act, whether by gift, devise, or other method; "stock" includes inchoate rights to stock.

**AS 13.46.110. Care of custodial property.**

- (a) A custodian shall:
- (1) take control of custodial property;
  - (2) register or record title to custodial property if appropriate; and
  - (3) collect, hold, manage, invest, and reinvest custodial property.
- (b) In dealing with custodial property, a custodian shall observe the standard of care that would be observed by a prudent person dealing with property of another and is not limited by any other statute except AS 13.90.010 restricting investments by fiduciaries. If a custodian has a special skill or expertise, or is named custodian on the basis of representations of a special skill or expertise, the custodian shall use that skill or expertise. However, a custodian, in the custodian's discretion and without liability to the minor or the minor's estate, may retain custodial property received from a transferor.
- (c) A custodian may invest in or pay premiums on life insurance or endowment policies on:
- (1) the life of the minor only if the minor or the minor's estate, is the sole beneficiary; or
  - (2) the life of another person in whom the minor has an insurable interest only to the extent that the minor, the minor's estate, or the custodian in the capacity of custodian, is the irrevocable beneficiary.
- (d) A custodian at all times shall keep custodial property separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor. Custodial property consisting of an undivided interest is so identified if the minor's interest is held as a tenant in common and is fixed. Custodial property subject to recordation is so identified if it is recorded, and custodial property subject to registration is also identified if it is either registered, or held in an account designated, in the name of the custodian, followed in substance by the words: "as custodian for \_\_\_\_\_ | \_\_\_\_\_ | \_\_\_\_\_ (name of minor) under the Alaska Uniform Transfers to Minors Act." First Name M.I. Last Name
- (e) A custodian shall keep records of all transactions with respect to custodial property, including information necessary for the preparation of the minor's tax returns, and shall make them available for inspection at reasonable intervals by a parent or legal representative of the minor or by the minor if the minor has attained the age of 14 years.